

BEFORE THE  
POSTAL RATE COMMISSION  
WASHINGTON, D.C. 20268-0001

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POSTAL RATE AND FEE CHANGES, 2001

POSTAL RATE COMMISSION  
OFFICE OF THE SECRETARY  
Docket No. R2001-1

NOTICE OF THE UNITED STATES POSTAL SERVICE  
OF FILING OF MASTER LIST OF LIBRARY REFERENCES  
(September 24, 2001)

The United States Postal Service hereby gives notice that it has today filed the library references identified on the attached master list. These library references are filed in conjunction with the request for postal rate and fee changes filed today. It is requested that intervenors read the extensive documentation provided prior to directing discovery requests to the Postal Service on topics which reasonably could be anticipated to be covered in these references. The testimonies of the postal witnesses have been drafted to provide ample direction to the various supporting materials filed by each witness (including those contained within these references).

In compliance with the Commission's rules on library references, the master lists indicates the relevant category for each library reference submitted. By separate pleadings, waivers have been requested for Category 1, Category 2, Category 3, and Category 5 library references. No Category 4 or Category 6 references are included with the Request in this case. For all Category 2 references, the list also indicates, by witness name or number, the testimony

with which the library reference is associated.

Parties are alerted that, as indicated on the attached list, LR-J-94 and LR-J-99 have been prepared, but have not been filed pending a ruling on protective conditions. By separate pleading today, the Postal Service is requesting that protective conditions be established to restrict access to those materials, which include privileged proprietary information regarding the new transportation contract with FedEx. This procedure (withholding the material in question until protective conditions can be established) follows the practice employed in the last case with respect to similar material relating to the testimony of witness Yezer.

Respectfully submitted,

UNITED STATES POSTAL SERVICE

By its attorneys:

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Chief Counsel, Ratemaking

  
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September 24, 2001

## R2001-1 Library Reference Master List

### Category

1	USPS-LR-J-1	Summary Description of USPS Development of Costs by Segments and Components, FY 2000
1	USPS-LR-J-2	USPS Costs and Revenue Analysis, FY 2000
1	USPS-LR-J-3	Development of Cost Segments and Components Report, FY 2000
2	USPS-LR-J-4	Base Year /Roll Forward, Input Control Files (Meehan/Patelunas)
2	USPS-LR-J-5	Base Year/Roll Forward, Processing Documentation Reports (Meehan/Patelunas)
2	USPS-LR-J-6	Base Year/Roll Forward (CD-ROM) (Meehan/Patelunas)
2	USPS-LR-J-7	Roll Forward Test Year Volume Cost Footnotes (Patelunas)
2	USPS-LR-J-8	Reconciliation of FY 2000 Statement of Revenue and Expense to Audited Financial Statements and Reallocation of Expenses by Component (Tayman/Meehan)
2	USPS-LR-J-9	Estimated Functional Accrued Costs by Subfunctions and Cost Categories (Patelunas)
1	USPS-LR-J-10	In-Office Cost System (IOCS) Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-11	IOCS-CODES Computer System Documentation and Source Code (w/CD-ROM)
1	USPS-LR-J-12	City Carrier Cost System (CCCS) Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-13	Rural Carrier Cost System (RCCS) Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-14	Handbook F-65, Data Collection User ' s Guide for Cost Systems, TL-2, March 1999
1	USPS-LR-J-15	CCCA-RCCS CODES Computer System Documentation

and Source Code (W/CD-ROM)

1	USPS-LR-J-16	Domestic Revenue, Pieces and Weight (DRPW) Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-17	Jointly Scheduled Tests
1	USPS-LR-J-18	Bulk Mail Revenue, Pieces and Weight System (BRPW) Computer System Documentation
1	USPS-LR-J-19	Bulk Mail Revenue, Pieces and Weight System (BRPW) Statistical System Documentation
1	USPS-LR-J-20	Bulk Mail Revenue, Pieces and Weight System (BRPW) Variance Estimation Program Documentation
1	USPS-LR-J-21	Revenue, Pieces, and Weight Adjustment System (ARPW) Documentation and Source Code
1	USPS-LR-J-22	RPW-CODES, Computer System Documentation and Source Code
1	USPS-LR-J-23	Handbook F-75, Data Collection User's Guide for Revenue, Volume, and Performance Measurement Systems, TL-2, September 1999
1	USPS-LR-J-24	Permit System, User Guide and Periodical User Guide
1	USPS-LR-J-25	Permit System, Computer System Documentation Description
2	USPS-LR-J-26	Derivation of Fixed-Weight Price Indices: Express & Priority (Musgrave)
2	USPS-LR-J-27	Regression Materials and Data: Express & Priority (Musgrave)
2	USPS-LR-J-28	Before-Rates and After-Rates Multipliers and Forecasts: Express & Priority (Musgrave)
1	USPS-LR-J-29	TRACS Commercial Air Subsystem Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-30	TRACS Rail (Amtrak) Subsystem Statistical and Computer Documentation (Source Code and Data on CD-ROM)

1	USPS-LR-J-31	TRACS Network Air Subsystem Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-32	TRACS Highway Subsystem Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-33	TRACS Freight Rail Statistical and Computer Documentation (Source Code and Data on CD-ROM)
1	USPS-LR-J-34	Supplemental Statistical Programs Policies & Data Collection Instructions
1	USPS-LR-J-35	TRACS-CODES Computer System Documentation and Source Code (w/CD-ROM)
2	USPS-LR-J-36	SAS Programs Used in the Calculation of the CNET Premium (Pickett)
2	USPS-LR-J-37	SAS Programs Used in the Calculation of the DNET Distribution Key (Pickett)
2	USPS-LR-J-38	Calculation of Eagle, WNET and CNET Premium Factors (Pickett)
2	USPS-LR-J-39	Calculation of Daynet and CNET Distribution Keys (Pickett)
2	USPS-LR-J-40	Calculation of Alaska Air Adjustment (Pickett)
2	USPS-LR-J-41	SAS Programs Used in Calculation of Cost per Cubic Foot Mile for Alaska Air Adjustment (Pickett)
2	USPS-LR-J-42	Calculation of Alaska Highway Costs (Pickett)
2	USPS-LR-J-43	Calculation of Distance-related Transportation Costs (Pickett)
2	USPS-LR-J-44	Calculation of Cost of Eagle and WNET Daytime Operations (Pickett)
1	USPS-LR-J-45	ODIS-CODES, Computer System Documentation Description and Source Code
	USPS-LR-J-46	RESERVED
3	USPS-LR-J-47	National Agreements: American Postal Workers Union-AFL-CIO, 1998-2000; National Postal Mail Handlers Union, AFL-

CIO, 1998-2000; National Rural Letter Carriers Association, 1995-1999; National Association of Letter Carriers, AFL-CIO, 1998-2001

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|---|--------------|---|
| 2 | USPS-LR-J-48 | Diskette of Witness Patelunas's Spreadsheets for Appendices A through B and Exhibit USPS-12A (USPS-T-12) (Patelunas)  |
| 2 | USPS-LR-J-49 | Explanation of Cost Reductions and Other Programs (Tayman/Patelunas)  |
| 2 | USPS-LR-J-50 | Rollforward Expense Factors (Tayman)  |
| 2 | USPS-LR-J-51 | Workers' Compensation Expense (Tayman)  |
| 2 | USPS-LR-J-52 | Development of Piggyback and Related Factors (Smith)  |
| 2 | USPS-LR-J-53 | Mail Processing Unit Costs by Shape (Smith)   |
| 2 | USPS-LR-J-54 | Equipment and Facility Related Costs (Smith)  |
| 2 | USPS-LR-J-55 | MODS-Based Costing, Description of Spreadsheets and SAS Programs (Van-Ty-Smith)   |
| 2 | USPS-LR-J-56 | Programs and Electronic Input Data for Mail Processing Volume Variability Analysis (Bozzo)  |
| 2 | USPS-LR-J-57 | Diskette of Witness Meehan's Spreadsheets for Workpaper B and Exhibit 11C (USPS-T-11)   |
| 2 | USPS-LR-J-58 | First-Class, Periodicals, and Standard Mail Weight Studies (Schenk)   |
| 2 | USPS-LR-J-59 | Development of ECR Mail Processing Saturation Savings (Schenk)  |
| 2 | USPS-LR-J-60 | Letter/Card Processing Cost Models (FCM, Standard Mail, QBRM Discount, Nonstandard Surcharge, and Nonmachinable Surcharge) and BRM Fee Cost Models (Miller) |
| 2 | USPS-LR-J-61 | Flats Mail Processing Cost Models (FCM, Periodicals and Standard Mail) (Miller)   |
| 3 | USPS-LR-J-62 | Letter Recognition Enhancement Program (Miller)   |

2	USPS-LR-J-63	Flats Density Study (Miller)
2	USPS-LR-J-64	Models Supporting USPS-T-25 (Eggleston)
2	USPS-LR-J-65	Parcel Post and BPM Mail Processing and Window Service (Eggleston)
2	USPS-LR-J-66	Program Documentation for Parcel Post Cube-Weight Regression (Eggleston)
2	USPS-LR-J-67	Parcel Post Volume, Cubic Feet and Weight Data (Eggleston)
2	USPS-LR-J-68	Dropship Cost Avoidances for Standard A and Periodicals (Mayes)
2	USPS-LR-J-69	Cost Models Supporting USPS-T-42
2	USPS-LR-J-70	Rural Carrier Analysis (Kay)
2	USPS-LR-J-71	FY 1996-FY 2000 Rural Mail Count Data (Kay)
2	USPS-LR-J-72	Supporting Materials Relating to Incremental Cost Model (Kay)
2	USPS-LR-J-73	Calculation of Single Subclass Stop Ratios (Kay)
5	USPS-LR-J-74	PRC Version/Base Year Model
5	USPS-LR-J-75	PRC Version/Roll Forward Model
5	USPS-LR-J-76	PRC Version/Base Year/Roll Forward, Processing Documentation Reports
5	USPS-LR-J-77	PRC Version/Base Year Roll Forward (CD-ROM)
5	USPS-LR-J-78	PRC Version/Roll Forward Test Year Volume Variable Cost Footnotes
5	USPS-LR-J-79	PRC Version/Roll Forward Expense Factors
5	USPS-LR-J-80	PRC Version/Development of Piggyback and Related Factors
5	USPS-LR-J-81	PRC Version/Mail Processing Unit Costs by Shape

5	USPS-LR-J-82	PRC Version/MODS-Based Costing, Description of Spreadsheets and SAS Programs
5	USPS-LR-J-83	PRC Version/Development of ECR Mail Processing Saturation Savings
5	USPS-LR-J-84	PRC Version/Letter/Card Mail Processing Cost Models (FCM, Standard Mail, QBRM Discount, Nonstandard Surcharge and Nonmachinable Surcharge) and BRM Fee Cost Models
5	USPS-LR-J-85	PRC Version/Flats Mail Processing Cost Model (FCM, Periodicals, and Standard Mail)
5	USPS-LR-J-86	PRC Version/Models Supporting USPS-T-25
5	USPS-LR-J-87	PRC Version/Parcel Post and BPM Mail Processing and Window Service Costs
5	USPS-LR-J-88	PRC Version/Dropship Cost Avoidances for Periodicals and Standard A
5	USPS-LR-J-89	PRC Version/Markup Indices
3	USPS-LR-J-90	Domestic Mail Rate History
3	USPS-LR-J-91	Domestic Mail Revenue and Volume History
2	USPS-LR-J-92	Special Services Revenue and Volume History (Mayo)
2	USPS-LR-J-93	Special Services Fee History (Mayo)
2	USPS-LR-J-94	<b>Fed Ex Roll Forward Adjustments (Hatfield) NOT FILED PENDING RULING ON PROTECTIVE CONDITIONS</b>
2	USPS-LR-J-95	<b>Base Year Air Volumes by Air Class Tag (ACT) (Hatfield)</b>
2	USPS-LR-J-96	<b>Estimation of Priority Mail Weight and Average Haul by Zone (Hatfield)</b>
3	USPS-LR-J-97	<b>Redacted Fed Ex Transportation Contract</b>
1	USPS-LR-J-98	<b>FY 2000 Billing Determinants</b>
5	USPS-LR-J-99	<b>PRC Version/Fed Ex Roll Forward Adjustments NOT FILED PENDING RULING ON PROTECTIVE CONDITIONS</b>



2	USPS-LR-J-100	Pallet Cost Analysis (Schenk)
2	USPS-LR-J-101	Ride-Along Survey (Kingsley)
2	USPS-LR-J-102	First-Class Mail Pricing Spreadsheets (Robinson)
2	USPS-LR-J-103	Priority Mail Pricing Spreadsheets (Scherer)
3	USPS-LR-J-104	FY 2000 Household Diary Study
	USPS-LR-J-105	RESERVED
2	USPS-LR-J-106	Package Services Pricing Spreadsheets (Kiefer)
2	USPS-LR-J-107	Periodicals Pricing Spreadsheets (Taufique)
2	USPS-LR-J-108	Express Mail Pricing Spreadsheets (Mayo)
2	USPS-LR-J-109	Special Services Pricing Spreadsheets (Mayo)
2	USPS-LR-J-110	Special Services Pricing Spreadsheets (Koroma)
2	USPS-LR-J-111	Supporting Materials of Witness Kaneer (Kaneer)
2	USPS-LR-J-112	Revenue, Piece and Weight Estimate By Mail Characteristics (Loetscher)
2	USPS-LR-J-113	Parcel Post Weight Study (Schenk)
2	USPS-LR-J-114	Periodicals Entry Profile Study (Loetscher)
2	USPS-LR-J-115	One and Two Pound Parcel Post Volume Splits From 1/7/2001 to 3/23/2001 (Kiefer)
2	USPS-LR-J-116	Market Research for Ride-Along (Rothschild)
2	USPS-LR-J-117	Development of Delivery Costs by Rate Category for First-Class and Standard Mail (Schenk)
2	USPS-LR-J-118	TY Cost Savings Estimate for Bundle Breakage Reduction for Flats Mail (Schenk)
2	USPS-LR-J-119	TY LOT Cost Savings Estimate for Periodicals Carrier Route Basic (Schenk)
2	USPS-LR-J-120	Parcel Post and Special Services Final Cost Adjustment for

**Delivery Confirmation (Kiefer)**

2	USPS-LR-J-121	Certified Mail Research (Rothschild)
2	USPS-LR-J-122	Before-Rates Fixed Weight Indices (Tolley)
2	USPS-LR-J-123	After-Rates Fixed Weight Indices (Tolley)
2	USPS-LR-J-124	Data Used in Volume Forecast (Tolley)
2	:USPS-LR-J-125	Documentation of the Volume Forecasting Model (Tolley)
2	USPS-LR-J-126	Step-by-Step Calculation of Volume Projections (Tolley)
2	USPS-LR-J-127	Data, Programs, and Results for Witness Thress's Econometric Work (Thress)
2	USPS-LR-J-128	Estimation of Permanent Income Elasticities (Thress)
2	USPS-LR-J-129	Witness Thress's Econometric Choice Trail (Thress)
2	USPS-LR-J-130	First-Class Mail Additional-Ounce Volume Forecasting Methodology (Robinson)
2	USPS-LR-J-131	Standard Mail ECR and NECR Workpapers (Hope)
2	USPS-LR-J-132	Standard Mail Regular and Nonprofit Workpapers (Moeller, USPS-T-32)
2	USPS-LR-J-133	Projection of Future Values of Internet Variables (Bernstein, USPS-T-10)
2	USPS-LR-J-134	Bernstein Pricing Models (USPS-T-10)
2	USPS-LR-J-135	Spreadsheets and Backup Materials for Witness Nieto
2	USPS-LR-J-136	Special Services After-Rates Volume Adjustments (Nieto)
1	USPS-LR-J-137	Origin-Destination Information System (ODIS) Quarterly Statistic Reports (FY99 Q4 - FY2001 Q4)
2	USPS-LR-J-138	Rate Level Workpapers (Moeller USPS-T-28)

**CERTIFICATE OF SERVICE**

I hereby certify that I have this day served the foregoing document upon all participants of record in this proceeding in accordance with section 12 of the Rules of Practice.

  
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